

Fact Sheet

IT Projects

Main Activity	Activity Groups	Measurable Results
IT Governance	Financial Controlling Project Monitoring Project Reporting Project Audits	<p>Management received clear and concise evidence of their real IT costs.</p> <p>Accounted for actual internal and external project costs making apparent the true project costs to the business.</p> <p>Meaningful and measurable cost savings quickly achieved, without impacting the daily operations.</p>
IT Architecture & Standards	Application and System Architecture Project Methodology IT Standards	<p>Improvement in the overall development of IT systems.</p> <p>New IT strategy reduced the cost of developing new and maintaining old systems.</p> <p>Business lines became actively involved in all-important decisions, and started to bear responsibility.</p>
IT Projects	Fund Administration Platform Fund Data Warehouse Information Management System IT-Center moves and consolidation Moving Retail Banks on a new banking platform Improvement of Data Quality	<p>Projects delivered according to approved time schedules.</p> <p>Project costs within approved budgets.</p> <p>Business is able to reap expected benefits within a short time after the system live date.</p> <p>In various international projects lead project staff with different cultural background and managed successfully a common team spirit over national boundaries.</p> <p>Data analyses and correction of incomplete or wrong data</p>

Upon request more detailed presentation can be furnished on the below commentary

Case Illustrations

IT Governance

Keeping cost, time and risks of IT Projects under control

- Implemented a Project and Finance Controlling System. This was coordinated within the European IT subsidiary of the Asset Management Division of a large Swiss bank.
- Performed an assessment of the application architecture while identifying the risk factors of implementing a Business-to-Customer (B2C) internet solution for one of the leading investment fund providers in Switzerland.
- Developed and implemented a web-based Project Controlling and Reporting Tool within the IT subsidiary unit of one of the leading private banking groups in the world.
- Reengineered and implemented a new Financial Reporting System for a large Swiss financial services company.

IT Architecture & Standards

Setting Standards and Methods for successful IT Projects

- Developed a new System and Application Architecture for all IT-systems for one of the leading investment fund providers in Europe.
- Established and implemented European wide new project methodologies and guidelines for IT projects for one of the largest Asset Managing companies in Europe.
- Implemented an “Issue Tracking Tool” for projects, enabling the project manager and the steering committee to see at once all open issues, associated risks and measures and decisions taken. All pending issues were tracked and time controlled. Other views allowed the IT Management to review all projects within their portfolios.

IT Projects

Data Center Move

- Succeeded in the move of a big IT-Production Center for a Swiss Bank in Zurich with limited time constraints.
- Co-ordination of 22 different companies with 80 technicians involved.
- Time planning had to be exercised on a hourly basis in order to co-ordinate 2'500 tasks that required transition.

International Private Banking Solutions

- Project management for the implementation of a new IT system for several private banks in Switzerland, England and USA.
- Evaluated and implemented a private banking IT-solution for 2 different private banks in New York and London.

Global Y2000 Project

Global project management for the Y2000 program for the Private Banking Division of one of the leading Swiss banks (1998-2000)

- Responsibility for 32 private banking locations in 20 different nations outside Switzerland.
- Responsible for all internal and external communication (clients, authorities and regulators).
- Monitored and inspected test results of approximately 3'000 different applications.
- Headed the Y2000 Command & Control Center in Switzerland and the main international locations around the world.
- Established business and IT contingency plans in all locations.
- Management responsibility for 650 employees.

New Platform for Investment Funds Business

Project Management for a new Fund Administration platform for a leading Investment Fund provider in Europe

- Developed "Target Processes" and workflows for a new, modern and effective Fund Administration platform in 2 locations (Switzerland & Luxembourg).
- Established a detailed implementation concept, that structured the project in manageable sub-projects with clearly defined deliverables.
- Evaluated a new technical platform for fund administration, including satellite systems for message brokering, compliance and reporting systems.
- Management responsibility for 65 employees while remaining within the project cost scope of CHF 20mio.

Unified Operations Center for Swiss Private Banks

Evaluated and implemented a new banking system, including centralization of the various back-offices into one central operation center.

In a first step the computer center, with its infrastructure was implemented. Followed by the first bank and subsequently the next banks.

New Banking Platform for Swiss Cantonal Banks

As General Project Manager managing various IT companies in implementing a new central IT platform at 4 Swiss Cantonal Banks within 2 years. Tight implementation schedule, after a set up phase of 12 months, every 3 months a bank was converted onto the new platform.

- Evaluation of an IT-Implementation Partner (General Contractor).
- Evaluation of an IT Service Center for all of the banks computer systems.
- Setting up a central IT Infrastructure within an outsourced environment.
- Migrating various data environments onto a standardized and centralized environment.
- Coordination and management of various project teams of the banks as well as various computer specialist teams.

Improvement of Data Quality

As Project Manager managing various IT data quality projects. All projects showed improvement in data quality on customer data with 3 to 6 months. Furthermore improvements in Risk Management, Statutory Reporting and external auditors (e.g. QI-Reporting for US clients) have been achieved.

- Analyses of data, making the bank aware of faulty data and in some cases erroneous reporting
- Development of automated correction programs
- Changing business processes to ensure, that a high level of data quality can be held
- Training and education of staff in data processes
- Development and implementation of control systems and reporting
- Improvement in data quality lead to a substantial higher quality in the Risk-Management of the bank, incl. statutory reporting
- In one case, a Swiss Private Bank was able to get their QI-Issue (US Clients and Qualified Intermediary Reporting) again under control

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